



BENCHMARK

SECURITIES

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SEC Rule 606 Disclosures

Rule 606(a) of SEC Regulation NMS requires broker-dealers receiving non-directed client orders to publicly disclose, on a quarterly basis, the top execution venues to which such orders are routed for execution. Broker-dealers also must disclose material aspects of the relationships they maintain with the identified execution venues.

For customer orders transmitted to Benchmark Securities, LLC's ("Benchmark") clearing firm, Hilltop Securities, Inc. ("HTS"), HTS makes the routing decisions concerning these orders without regard to the identity of the introducing broker and routes orders to selected market makers and exchanges for execution. Benchmark has reviewed the 606 disclosure reports and believes they accurately represent, in all material respects, the order routing practices for Benchmark customer orders transmitted to HTS. As such, Benchmark has adopted by reference the HTS Rule 606 disclosure reports.

For customer orders transmitted to Hilltop Securities, Inc, Rule 606 disclosure can be found here:
<https://www.hilltopsecurities.com/disclosures/order-routing-disclosure/>

Benchmark does not receive any payment for order flow from HTS.

Pursuant to SEC Rules 606(b)(1) and 606(b)(3), customers may request, free of charge, reports detailing the routing and executions of their orders placed with BRWM. Please notify your financial professional if you wish to request either of these reports.